

01-17-01.

Attorney Docket No. 2271/63926

Total Pages 3

Express Mail Label No. EL628788920US

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Mika PARTAIN and Kaoru KATAYAMA

Inventor(s)

INTERACTIVE PROMOTION OF PRODUCT SALES IN INTERNET SALES TRANSACTIONS

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 15 including:
 - a. 5 pages of claims (20 claims)
 - b. 1 page(s) Abstract
2. ☒ 7 sheets of ☒ informal ☐ formal drawings (Figs. 1 - 3-2)
3. ☒ Oath or declaration of Applicant(s) (3 total pages)
 - a. ☐ Newly executed (original)
 - b. ☒ Unexecuted
 - c. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. ☐ An assignment of the invention to _____(cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
 - a. ☐ Newly executed (original)
 - b. ☒ Unexecuted
 - c. ☐ Copy from a prior application

10. ___ Information Disclosure Statement (IDS)/PTO-1449
 ___ Copies of IDS Citations
11. ___ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. ___ A small entity statement under 37 C.F.R. §1.9 and §1.27
 ___ Statement filed in prior application, status still proper and desired
14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*
 ☐ Continuation of prior application No.: _____ filed _____
 ☐ Divisional of prior application No.: _____ filed _____
 ☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____
15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
 ___ divisional of application Serial No. _____ filed ____--
16. ___ Priority of application No. _____ filed in _____ on _____. Applicant hereby claims
 priority under 35 U.S.C. § 119.
 ___ A certified copy of priority application No. _____ is enclosed
 ___ A certified copy of priority application No. _____ has been filed in prior application
 S.N. _____ filed _____
17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	20 - 20	=	0	x	\$ 9	\$ 18	=	\$ 0	\$ 0
Indep. Claims	4 - 3	=	1	x	\$ 40	\$ 80	=	\$ 0	\$ 80
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$135	\$270	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$	\$ 790

09/760864



01/16/01

18. X A check in the amount of \$ 790.00 to cover the filing fee.
19. A check in the amount of \$ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. X Other (identify) Request and Certification Under 35 U.C.S. 122(b)(2)(B)(i) executed by the Attorney of record.

CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of Americas
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

January 16, 2001
Date

Richard F. Jaworski, Reg. No. 33,515
Attorney for Applicants
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Mika PARTAIN, et al.

Serial No. :

Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : INTERACTIVE PROMOTION OF PRODUCT
SALES IN INTERNET SALES TRANSACTIONS



1185 Avenue of the Americas
New York, N.Y. 10036

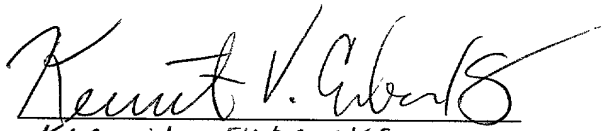
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL628788920US

Date of Deposit: January 16, 2001

I hereby certify that the above-identified application (consisting of a 15-page specification (including 5 pages of claims (20 claims) and a 1 page Abstract), and 7 sheets of informal drawings (Figs. 1 - 3-2)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, executed Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i), and a check for the \$790 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


KERMIT EUBANKS
Mail Room Employee
Depositing Express Mail Material

Attorney for Applicants:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Mika PARTAIN, et al.

Title

INTERACTIVE PROMOTION OF PRODUCT
SALES IN INTERNET SALES TRANSACTIONS

Atty Docket Number

2271/63926

PTO
09/760866
01/16/01

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

01/16/01

Date



Signature

Richard F. Jaworski, Reg. No. 33,515

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.